



BOONE COUNTY, MISSOURI

Request for Proposal #: 13-20JUL17 – Depository of County Funds

ADDENDUM #1 - Issued June 28, 2017

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum ***should be acknowledged*** and submitted with Offeror's *Response Form*. Signed addendums should be uploaded in the Required Documents section of the Proposal Cover Sheet.

Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

- I. CHANGE any reference in the Terms and Conditions from FTP to SFTP (Secure File Transfer Protocol). This includes sections 5.4.5. and 5.5.2.
- II. CHANGE section 2.2. from "360 day basis" to "True 365 day basis".
- III. CLARIFICATION: Offerors must submit one (1) original and two (2) paper copies of the proposal (total of three) and one (1) electronic proposal response on thumb drive.
- IV. The County received the following questions and is providing a response:

- a. Do you have direct ACH transmissions?

Response: ACH processing will be initiated by both SFTP transfer and occasionally through the bank's online banking platform. The County may at times use the bank's online banking platform to submit individual ACH items.

- b. Regarding SFTP Platform, is there software the County uses to interface to that and will you provide the name of that software?

Response: The County uses an enterprise level file transfer utility that supports many protocols and is very flexible in configuration. Both SFTP and FTPS protocols are supported and would be acceptable. The vendor would need to provide the FTP server address with a username and password. The County would supply our public IP address from where the traffic would originate from to complete the connection.

- c. Who is serving on your evaluation committee?

Response: Tom Darrough, Boone County Treasurer, Brian McCollum, Boone County Collector, Christy Blakemore, Boone County Circuit Clerk, and possibly a representative from the Boone County Clerk's office.

d. Exhibit 1 for the Treasurer's office was not attached.

Response: See attached.

e. Section 5.5. referencing the poll workers, is that part of the Treasurer's account?

Response: Yes

f. Regarding the increase of the amount of revenue coming into the Collector's office increasing, what are the amounts it peaks up to?

Response: The amount collected and distributed for December 2016 was approximately \$133 million; for January 2017, approximately \$37.5 million was collected and distributed. Revenue collected is disbursed to the taxing entities by the 15th day of the following month. The Collector holds funds for a very short period of time.

Regarding section 3 – Collateralization for Collector accounts during November thru March. Under the current contract, collateralization is provided up to \$25 million for accounts under the Collector's control. There was an addendum to the contract that added a 'Collector Overflow' account that allowed for additional deposits of \$50 million. These deposits are fully collateralized. Fees associated with the Overflow account were the same as the fees that applied to other accounts. Interest on the additional \$50 million was paid at a different rate. Revenue more than \$75 million was invested in T-bills. The Collector prefers that all deposited amounts be fully collateralized with the depository. If unable to provide full collateralization, please propose an alternative solution to help manage cash flow needs for distribution.

Collateralization of accounts under the Collector's control should not adversely affect those accounts under the Treasurer's control.

g. Do you collect any currency?

Response: Yes. It is variable for the Treasurer's office, but typically less than \$1,000 per day. There is an increase on the use of credit cards.

Please see ADDENDUM EXHIBIT – COLLECTOR DECEMBER DEPOSITS for a breakdown of cash and checks deposited in the month of December 2016. The Collector's office makes multiple deposits per day during the peak collection period.

h. Are you using remote deposit services?

Response: Remote deposit is not currently being used, and is not part of this depository RFP.

By:

Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined **Addendum #1** to Request for Proposal# **13-20JUL17 – Depository of County Funds**, receipt of which is hereby acknowledged:

Company Name: _____

Address: _____

Phone Number: _____ Fax Number: _____

E-mail: _____

Authorized Representative Signature: _____ Date: _____

Authorized Representative Printed Name: _____

Collector Investment Account Deposit breakdown

December 2016					
Date	Dep #	Cash	Checks	Deposit Total	
12/1/2016	1	\$ 10,424.74	\$ 784,856.06	\$ 795,280.80	
12/1/2016	2	\$ 4,581.34	\$ 697,960.99	\$ 702,542.33	
12/2/2016	1	\$ 9,975.01	\$ 3,796,702.05	\$ 3,806,677.06	
12/5/2016	1	\$ 23,300.82	\$ 1,814,829.44	\$ 1,838,130.26	
12/5/2016	2	\$ 14,235.08	\$ 1,175,833.12	\$ 1,190,068.20	
12/6/2016	1	\$ 7,457.86	\$ 1,270,929.90	\$ 1,278,387.76	
12/6/2016	2	\$ 9,277.31	\$ 1,253,992.84	\$ 1,263,270.15	
12/7/2016	1	\$ 7,883.24	\$ 2,065,982.14	\$ 2,073,865.38	
12/7/2016	2	\$ 6,662.04	\$ 1,083,560.63	\$ 1,090,222.67	
12/8/2016	1	\$ 16,193.43	\$ 2,026,834.23	\$ 2,043,027.66	
12/8/2016	2	\$ 12,078.11	\$ 1,040,868.33	\$ 1,052,946.44	
12/9/2016	1	\$ 5,725.90	\$ 750,366.51	\$ 756,092.41	
12/9/2016	2	\$ 6,906.63	\$ 1,480,073.79	\$ 1,486,980.42	
12/12/2016	1	\$ 13,957.79	\$ 854,477.49	\$ 868,435.28	
12/12/2016	2	\$ 18,827.44	\$ 996,611.07	\$ 1,015,438.51	
12/13/2016	1	\$ 12,966.75	\$ 2,117,356.59	\$ 2,130,323.34	
12/13/2016	2	\$ 12,163.72	\$ 1,386,376.13	\$ 1,398,539.85	
12/14/2016	1	\$ 6,715.49	\$ 1,950,487.35	\$ 1,957,202.84	
12/14/2016	2	\$ 7,870.70	\$ 2,854,136.62	\$ 2,862,007.32	
12/15/2016	1	\$ 6,968.61	\$ 1,858,562.67	\$ 1,865,531.28	
12/15/2016	2	\$ 12,978.67	\$ 3,066,337.47	\$ 3,079,316.14	
12/16/2016	1	\$ 21,320.98	\$ 1,616,235.25	\$ 1,637,556.23	
12/16/2016	2	\$ 7,901.27	\$ 2,980,241.54	\$ 2,988,142.81	
12/19/2016	1	\$ 33,505.75	\$ 751,722.98	\$ 785,228.73	
12/19/2016	2	\$ 5,223.82	\$ 1,486,049.69	\$ 1,491,273.51	
12/20/2016	1	\$ 21,322.29	\$ 9,064,145.08	\$ 9,085,467.37	
12/20/2016	2	\$ 14,775.97	\$ 1,958,254.73	\$ 1,973,030.70	
12/21/2016	1	\$ 17,433.68	\$ 1,615,892.17	\$ 1,633,325.85	
12/21/2016	2	\$ 18,626.23	\$ 7,277,703.35	\$ 7,296,329.58	
12/22/2016	1	\$ 9,883.24	\$ 2,020,821.44	\$ 2,030,704.68	
12/22/2016	2	\$ 16,905.89	\$ 2,087,399.34	\$ 2,104,305.23	
12/23/2016	1	\$ 7,837.27	\$ 1,602,749.32	\$ 1,610,586.59	
12/23/2016	2	\$ 11,546.19	\$ 2,756,097.52	\$ 2,767,643.71	
12/27/2016	1	\$ 27,954.53	\$ 2,314,698.30	\$ 2,342,652.83	
12/27/2016	2	\$ 13,400.75	\$ 1,679,939.63	\$ 1,693,340.38	
12/28/2016	1	\$ 35,429.35	\$ 2,335,760.13	\$ 2,371,189.48	
12/28/2016	2	\$ 29,608.96	\$ 2,928,349.32	\$ 2,957,958.28	
12/29/2016	1	\$ 38,848.14	\$ 2,619,490.26	\$ 2,658,338.40	
12/29/2016	2	\$ 34,155.52	\$ 5,571,248.07	\$ 5,605,403.59	
12/30/2016	1	\$ 31,115.98	\$ 1,074,643.62	\$ 1,105,759.60	
12/30/2016	2	\$ 48,553.07	\$ 3,059,447.22	\$ 3,108,000.29	
Totals		\$ 672,499.56	\$ 91,128,024.38	\$ 91,800,523.94	

TREASURER'S INVESTMENT ACCOUNT- ACTUAL

MONTH & YEAR	ACCT MAINT. CHARGE	ACCT ANALYSIS CHARGES	DEPOSITED ITEMS	RETURNED DEPOSITED ITEMS	OUTGOING WIRE TRANSERS	INCOMING WIRE TRANSERS	ACH Debits initiated outside of BC	ACH Credits initiated outside of BC	Individual ACH items initiated by BC	ACH files uploaded, initiated by BC	SECURITY SAFEKEEPING	INTERNET ACCOUNT ACCESS	AVERAGE LEDGER BALANCE	AVERAGE COLLECTED BALANCE	INTEREST EARNED
01/16	\$5.00	\$0.00	1,091	7	2	5	0	111	182	0	5	\$0.00	\$9,027,645.36	\$8,890,728.17	\$5,648.17
02/16	\$5.00	\$0.00	1,322	8	1	4	0	119	197	0	3	\$0.00	\$9,656,149.34	\$9,621,281.36	\$5,717.71
03/16	\$5.00	\$0.00	1,593	4	1	0	0	140	214	0	2	\$0.00	\$8,138,241.11	\$8,090,782.20	\$5,139.85
04/16	<i>No data is available for this month</i>														
05/16	\$5.00	\$0.00	1,490	0	0	1	0	135	200	0	0	\$0.00	\$4,115,620.35	\$4,102,661.89	\$2,606.63
06/16	\$5.00	\$0.00	1,560	3	1	2	0	150	199	0	2	\$0.00	\$7,059,414.65	\$6,979,633.15	\$4,290.67
07/16	\$5.00	\$0.00	1,408	3	1	1	0	124	188	0	2	\$0.00	\$9,745,536.34	\$9,732,047.45	\$6,182.12
08/16	\$5.00	\$0.00	1,638	1	1	1	0	147	226	0	0	\$0.00	\$10,373,168.90	\$10,357,095.57	\$6,579.17
09/16	\$5.00	\$0.00	1,269	0	0	1	0	123	203	0	0	\$0.00	\$9,197,783.67	\$9,158,603.63	\$5,630.17
10/16	\$5.00	\$0.00	1,265	0	0	0	0	130	196	0	2	\$0.00	\$7,843,350.49	\$7,821,935.55	\$4,968.97
11/16	\$5.00	\$0.00	1,444	0	0	0	0	126	181	0	0	\$0.00	\$2,584,247.12	\$2,573,642.47	\$1,589.01
12/16	\$5.00	\$0.00	1,469	0	0	0	0	130	217	0	3	\$0.00	\$4,836,378.78	\$4,807,281.06	\$3,134.74
	\$55.00	\$0.00	15,549	26	7	15	0	1,435	2,203	0	19	\$0.00	\$6,881,461.34	\$6,844,641.04	\$51,487.21

MAIN CHECKING ACCOUNT (A/P)							
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	ACH ITEMS PROCESSED	RETURNED DEPOSITED ITEMS	ACCOUNT RESEARCH	STOP PAYMENTS
01/16	\$5.00	\$5.00	336	1,121	0	0	0
02/16	\$5.00	\$5.00	324	1,173	0	0	0
03/16	\$5.00	\$5.00	399	1,180	0	0	0
04/16	<i>No data is available for this month</i>						
05/16	\$5.00	\$5.00	331	1,157	0	0	0
06/16	\$5.00	\$5.00	367	1,171	0	0	0
07/16	\$5.00	\$5.00	316	1,632	0	0	0
08/16	\$5.00	\$5.00	403	1,165	0	0	1
09/16	\$5.00	\$5.00	327	1,143	0	0	0
10/16	\$5.00	\$5.00	336	1,195	0	0	0
11/16	\$5.00	\$5.00	373	1,182	0	0	0
12/16	\$5.00	\$5.00	373	1,693	0	0	0
	\$55.00	\$55.00	3,885	13,812	0	0	1

BOONE COUNTY LAW LIBRARY					
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	DEPOSITED ITEMS	AVERAGE COLLECTED BALANCE
01/16	\$5.00	\$0.00	3	1	\$169,275.29
02/16	\$5.00	\$0.00	3	1	\$148,715.97
03/16	\$5.00	\$0.00	0	1	\$85,962.10
04/16	<i>No data is available for this month</i>				
05/16	\$5.00	\$0.00	0	1	\$90,717.79
06/16	\$5.00	\$0.00	0	1	\$89,764.47
07/16	\$5.00	\$0.00	1	1	\$91,946.97
08/16	\$5.00	\$0.00	1	1	\$93,890.85
09/16	\$5.00	\$0.00	1	1	\$95,300.59
10/16	\$5.00	\$0.00	2	1	\$97,677.51
11/16	\$5.00	\$0.00	1	1	\$98,884.98
12/16	\$5.00	\$0.00	2	1	\$100,965.25
	\$55.00	\$0.00	14	11	\$96,925.15

CALLAWAY COUNTY LAW LIBRARY					
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	DEPOSITED ITEMS	AVERAGE COLLECTED BALANCE
01/16	\$5.00	\$0.00	2	1	\$58,450.33
02/16	\$5.00	\$0.00	3	1	\$57,825.01
03/16	\$5.00	\$0.00	0	1	\$57,094.65
04/16	<i>No data is available for this month</i>				
05/16	\$5.00	\$0.00	0	1	\$59,154.37
06/16	<i>No data is available for this month</i>				
07/16	\$5.00	\$0.00	0	1	\$58,849.39
08/16	\$5.00	\$0.00	3	1	\$59,469.44
09/16	\$5.00	\$0.00	0	1	\$59,958.55
10/16	\$5.00	\$0.00	0	1	\$60,662.29
11/16	\$5.00	\$0.00	0	1	\$61,329.82
12/16	\$5.00	\$0.00	4	1	\$61,610.00
	\$50.00	\$0.00	12	10	\$49,533.65

<i>INMATE CHECKING ACCOUNT</i>				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS
01/16	\$5.00	\$0.00	120	0
02/16	\$5.00	\$0.00	84	0
03/16	\$5.00	\$0.00	150	0
04/16	<i>No data is available for this month</i>			
05/16	\$5.00	\$0.00	100	0
06/16	\$5.00	\$0.00	101	0
07/16	\$5.00	\$0.00	87	0
08/16	\$5.00	\$0.00	81	0
09/16	\$5.00	\$0.00	108	0
10/16	\$5.00	\$0.00	97	0
11/16	\$5.00	\$0.00	88	0
12/16	\$5.00	\$0.00	89	0
	\$55.00	\$0.00	1,105	0

JURY SERVICE CHECKING				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS
01/16	\$5.00	\$0.00	151	0
02/16	\$5.00	\$0.00	101	0
03/16	\$5.00	\$0.00	238	0
04/16	<i>No data is available for this month</i>			
05/16	\$5.00	\$0.00	198	0
06/16	\$5.00	\$0.00	189	0
07/16	\$5.00	\$0.00	205	0
08/16	\$5.00	\$0.00	139	0
09/16	\$5.00	\$0.00	90	0
10/16	\$5.00	\$0.00	119	0
11/16	\$5.00	\$0.00	254	0
12/16	\$5.00	\$0.00	239	0
	\$55.00	\$0.00	1,923	0

<i>PA RESTITUTION</i>				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS

<i>POLL WORKER</i>				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS

TREASURER'S MANUAL CHECKING				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS
01/16	\$5.00	\$0.00	62	0
02/16	\$5.00	\$0.00	71	0
03/16	\$5.00	\$0.00	85	0
04/16	<i>No data is available for this month</i>			
05/16	\$5.00	\$0.00	93	0
06/16	\$5.00	\$0.00	51	0
07/16	\$5.00	\$0.00	47	0
08/16	\$5.00	\$0.00	60	0
09/16	\$5.00	\$0.00	75	0
10/16	\$5.00	\$0.00	59	0
11/16	\$5.00	\$0.00	57	0
12/16	\$5.00	\$0.00	67	0
	\$55.00	\$0.00	727	0

PAYROLL CHECKING ACCOUNT				
MONTH & YEAR	ACCOUNT MAINTENANCE CHARGES	ACCOUNT ANALYSIS CHARGES	CHECKS CLEARED	STOP PAYMENTS
01/16	\$5.00	\$0.00	35	0
02/16	\$5.00	\$0.00	44	0
03/16	\$5.00	\$0.00	224	3
04/16	<i>No data is available for this month</i>			
05/16	\$5.00	\$0.00	35	0
06/16	\$5.00	\$0.00	29	0
07/16	\$5.00	\$0.00	37	0
08/16	\$5.00	\$0.00	41	0
09/16	\$5.00	\$0.00	27	0
10/16	\$5.00	\$0.00	35	0
11/16	\$5.00	\$0.00	54	0
12/16	\$5.00	\$0.00	44	0
	\$55.00	\$0.00	605	3